

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cechan (nmi) Tian et al.

Serial No .:

10/695,771

Filing Date:

October 29, 2003

Group Art No.:

2633

Title:

Method and System for Increasing Network Capacity in an

Optical Network

Commissioner For Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Sir:

Certificate of Mailing by Express Mail No. EV 324643263 US I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37, C.F.R. 110 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Willie Jil

Date: April 12, 2004

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

ATTORNEY DOCKET

## REMARKS

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

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Page 1 of 1 Applicant(s) Application No. PTO-1449 Cechan (nmi) Tian et al. 10/695,711 Information Disclosure Citation Filing Date Docket Number Group Art Unit An an Application 064731.0378 2633 October 29, 2003 U.S. PATENT DOCUMENTS MENT NO. SUBCLASS FILING DATE DATE NAME CLASS 05/17/1996 06/30/1998 de Barros et al 385 24 A 5,774,606 359 01/24/2001 2002/0039212 04/04/2002 Lee et al. 05/25/2001 359 2002/0039213 04/04/2002 Duerksen 385 24 08/29/2001 03/21/2002 Persson 2002/0034358 D E F FOREIGN PATENT DOCUMENTS TRANSLATION SUBCLASS DATE COUNTRY CLASS DOCUMENT NO. NO YES 11/10/1992 ΤP H04B 12/42 G 04319830 10/20 H04B х 7 10135916 05/22/1998 н H04J 14/02 X 1 063 803 12/27/2000 DOCUMENT DATE (Including Author, Title, Source, and Pertinent Pages) Notification of Transmittal of the International Search Report or the Declaration (PCT Rule 44.1) 09/16//2003 mailed March 6, 2004 (9 pages) re International Application No. PCT/US 03/29157 М DATE CONSIDERED EXAMINER

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considered. Include copy of this form with next communication to the applicant.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not